ICEA LION UNIT TRUSTS – TOP UP FORM

CLIENT ACCOUNT CODE …………………………………………………

First(or only)Applicant

Name:

ID or Passport:

Second Applicant (for joint)

Name:

ID or Passport:

TOP UP INSTRUCTIONS – MINIMUM TOP UP AMOUNT – KSH 2,000.00

<table>
<thead>
<tr>
<th>Fund Name</th>
<th>Amount (KShs)</th>
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<th>Amount (KShs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ICEA LION Money Market</td>
<td></td>
<td>ICEA LION Growth Fund</td>
<td></td>
</tr>
<tr>
<td>ICEA LION Equity Fund</td>
<td></td>
<td>ICEA LION Bond Fund</td>
<td></td>
</tr>
</tbody>
</table>

PAYMENT INSTRUCTIONS

1. ☐ ELECTRONIC/TELEGRAPHIC TRANSFER/CASH DEPOSIT
   Payments can be made directly into the inflow accounts in which case the transfer confirmation details or deposit slip must be attached to this form.

2. ☐ CHEQUE
   ICEA LION Asset Management accept personal, corporate and banker’s cheques payable to the relevant Unit Trusts.

3. ☐ DIRECT DEBIT
   This is an alternative method of topping up for clients wishing to save a certain amount of money monthly. Sign a separate form.

4. ☐ CHECK OFF
   This is an alternative method of topping up for clients wishing to save an amount of money monthly directly from their salary. Sign a separate form.

5. ☐ MPESA
   Payments can be made via M-PESA paybill no. 974200. The account number is your a/c code preceded by the initials of the fund e.g. MMF999667. All payments must be sent from the investor’s phone.

BANK ACCOUNT DETAILS OF TRUST

<table>
<thead>
<tr>
<th>FUND</th>
<th>ACCOUNT NUMBER</th>
<th>BANK</th>
<th>BRANCH</th>
</tr>
</thead>
<tbody>
<tr>
<td>ICEA LION Equity Fund</td>
<td>0105016761100</td>
<td>STANDARD CHARTERED</td>
<td>CSC HEAD OFFICE</td>
</tr>
<tr>
<td>ICEA LION Growth Fund</td>
<td>0105016761000</td>
<td>STANDARD CHARTERED</td>
<td>CSC HEAD OFFICE</td>
</tr>
<tr>
<td>ICEA LION Money Market Fund</td>
<td>0105016760900</td>
<td>STANDARD CHARTERED</td>
<td>CSC HEAD OFFICE</td>
</tr>
<tr>
<td>ICEA LION Bond Fund</td>
<td>0105016761300</td>
<td>STANDARD CHARTERED</td>
<td>CSC HEAD OFFICE</td>
</tr>
</tbody>
</table>

SOURCE OF FUNDS (tick where applicable)

☐ Salary/Savings
☐ Rental/Disposal of Property
☐ Sale of an Investment Portfolio
☐ Gift/Inheritance
☐ Pension
☐ Loan
☐ Betting/Lottery
☐ Other (Please specify) ……………………………………………………………………………………………
**FUND DESCRIPTION**

**ICEA LION EQUITY FUND**
Suitable for investors seeking capital growth.
Invests primarily in listed companies on the Nairobi Securities Exchange.
Long term investment horizon (3-5 years)
Risk level: Medium-High

**Fee Structure**
- Initial Fee – 5%
- Management Fee – up to 2% per annum

**ICEA LION BOND FUND**
Suitable for investors seeking more capital stability that equity markets.
Invests primarily in diversified bond portfolio
Medium term investment horizon (2-3 years)
Risk level: Medium-High

**Fee Structure**
- Initial Fee – Up to 2%
- Management Fee – up to 2% per annum

**ICEA LION MONEY MARKET FUND**
Suitable for investors seeking liquidity, interest, and capital preservation.
Invests primarily in interest bearing assets.
Short term investment horizon.
Risk level: low

**Fee Structure**
- Initial Fee – 0%
- Management Fee – up to 2% per annum

**ICEA LION GROWTH FUND**
For investors seeking a balanced portfolio.
Invests primarily in listed shares and Interest bearing assets.
Medium-long term investment horizon (3-5 years)
Risk level: Medium-High

**Fee Structure**
- Initial Fee – 5%
- Management Fee – up to 2% per annum

**DECLARATIONS**

a) I/we authorize the fund to pay all necessary administration fees and deduct such sums from my investment as per the fees stated for each fund.
b) I/we confirm that the information provided is true and accurate and that the money used for this investment does not arise out of proceeds of any money laundering or illicit activities.
c) I /We take full responsibility for my/our choice of the investments made by me/us after fully understanding the funds objectives, risk levels, income distribution and all charges as set out in the funds’ prospectus and brochures.
d) I/we understand that the value of my/our investments is not guaranteed and that asset values may fall as well as rise in line with fluctuations in investment markets.
e) I / We hereby confirm that ICEA LION, the manager, trustee and the administrator are each hereby authorized and instructed to accept and execute any instructions in respect of the units to which this application relates to given by me/us in writing form/fax and or email.
f) I /We indemnify ICEA LION the manager, trustee and the administrator and agree that they are not responsible for any liability, losses or damage resulting from email or fax instructions.

I/We the undersigned confirm that we have read and understood this declaration and its implications.
Signature of applicant/authorized signatories.

Name……………………………………………….. Name………………………………………………….
Signature………………………………………… Signature…………………………………………
Date……………………………………………….. Date……………………………………………………

**BROKER/AGENT DETAILS (FOR OFFICIAL USE ONLY)**

Agent Name and Number………………………………………………………………………………..

Agent Signature…………………………………………………………………………………………..

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Investment security